

Announcement

May 24, 2019

The Indxx Private Credit Index will be rebalanced after the close of trading hours on May 31, 2019.

The below portfolio will become effective at the close of trading hours on May 31, 2019.

S.No	ISIN	Company Name	Weights as of close of May 23, 2019
1	US6915431026	Oxford Lane Capital Corp.	3.65%
2	US06759L1035	Barings BDC, Inc.	3.40%
3	US69181V1070	Oxford Square Capital Corp.	2.86%
4	US2698081013	Eagle Point Credit Co., Inc.	2.85%
5	US3026351078	FS KKR Capital Corp.	2.73%
6	US74348T1025	Prospect Capital Corp.	2.42%
7	US7080621045	PennantPark Investment Corp.	2.38%
8	US0925331086	BlackRock Capital Investment Corp.	2.36%
9	US03761U5020	Apollo Investment Corp.	2.24%
10	US89677Y1001	TriplePoint Venture Growth BDC Corp.	2.02%
11	US96524V1061	WhiteHorse Finance, Inc.	1.93%
12	US09259E1082	Blackrock TCP Capital Corp.	1.91%
13	US3165001070	Fidus Investment Corp.	1.90%
14	US48249T1060	KKR Income Opportunities Fund	1.90%
15	US8585681088	Stellus Capital Investment Corp.	1.87%
16	US6475511001	New Mountain Finance Corp.	1.86%
17	US4270965084	Hercules Capital, Inc.	1.84%
18	US70806A1060	PennantPark Floating Rate Capital Ltd.	1.84%
19	US09257R1014	Blackstone / GSO Strategic Credit Fund	1.80%
20	US38147U1079	Goldman Sachs BDC, Inc.	1.79%
21	US09257D1028	Blackstone / GSO Long Short Credit Income Fund	1.79%
22	US3765351008	Gladstone Capital Corp.	1.75%
23	US87265K1025	TPG Specialty Lending, Inc.	1.69%
24	US04010L1035	Ares Capital Corp.	1.67%
25	US83416M1053	Solar Senior Capital Ltd.	1.65%
26	US67073D1028	Nuveen Credit Strategies Income Fund	1.63%
27	US6706EN1001	Nuveen Floating Rate Income Opportunity Fund	1.57%
28	US67074X1072	Nuveen Short Duration Credit Opportunities Fund	1.56%
29	US38173M1027	Golub Capital BDC, Inc.	1.56%
30	US67402D1046	Oaktree Strategic Income Corp.	1.54%
31	US67401P1084	Oaktree Specialty Lending Corp.	1.51%
32	US67072T1088	Nuveen Floating Rate Income Fund	1.50%
33	US67067Y1047	Nuveen Senior Income Fund	1.49%
34	US83413U1007	Solar Capital Ltd.	1.49%

S.No	ISIN	Company Name	Weights as of close of May 23, 2019
35	US09256U1051	Blackstone / GSO Senior Floating Rate Term Fund	1.47%
36	US09255R2022	BlackRock Debt Strategies Fund, Inc.	1.46%
37	US06759X1072	Barings Corporate Investors	1.45%
38	US8722801029	TCG BDC, Inc.	1.45%
39	US3765461070	Gladstone Investment Corp.	1.44%
40	US72369J1025	Pioneer Floating Rate Trust	1.37%
41	US27828Q1058	Eaton Vance Senior Floating-Rate Trust	1.36%
42	US56035L1044	Main Street Capital Corp.	1.35%
43	US2782791048	Eaton Vance Floating-Rate Income Trust	1.26%
44	US33733U1088	First Trust Senior Floating Rate Income Fund II	1.24%
45	US92913A1007	Voya Prime Rate Trust	1.23%
46	US46131H1077	Invesco Senior Income Trust	1.22%
47	US09255X1000	BlackRock Floating Rate Income Strategies Fund, Inc.	1.20%
48	US27826S1033	Eaton Vance Senior Income Trust	1.20%
49	US1405011073	Capital Southwest Corp.	1.18%
50	US0919411043	BlackRock Floating Rate Income Trust	1.17%
51	US12574Q1031	CM Finance, Inc.	1.00%
52	US14054R1068	Capitala Finance Corp.	1.00%
53	US3665541039	Garrison Capital, Inc.	1.00%
54	US44045A1025	Horizon Technology Finance Corp.	1.00%
55	US58503F1066	Medley Capital Corp.	1.00%
56	US6103351010	Monroe Capital Corp.	1.00%
57	US5538291023	MVC Capital, Inc.	1.00%
58	US67103B1008	OFS Capital Corp.	1.00%
59	US73688F1021	Portman Ridge Finance Corp.	1.00%
60	US80349A2087	Saratoga Investment Corp.	1.00%
61	US8724381061	THL Credit, Inc.	1.00%