

Indxx's Statement of Adherence to the IOSCO Principles

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INTRODUCTION

Indxx seeks to redefine the global indexing space.

Founded in 2005 and with offices in New York, New Delhi and Prague, we endeavour to deliver innovative and custom indexing and calculation solutions to the investment management community.

BACKGROUND TO THE IOSCO PRINCIPLES

On July 17, 2013, the International Organization of Securities Commissions (“IOSCO”) published the Principles for Financial Benchmarks (“Principles”) which addressed conflicts of interest in benchmark-setting processes, as well as other matters related to benchmarks.

The IOSCO Principles set out an overall framework for the use of financial benchmarks in financial markets and are based upon three objectives of securities regulation. These are:

- Protecting investors
- Ensuring that markets are fair, efficient and transparent.
- Reducing systemic risk.

INDXX’S STATEMENT OF ADHERENCE TO THE IOSCO PRINCIPLES

Indxx is committed to promoting the highest possible governance standards within our industry and fully endorse and embrace the IOSCO Principles.

Indxx has an independent group, the Indxx Internal Compliance Committee (“Committee”), that has reviewed our compliance with the Principles.

The Committee is responsible for the identification of the governance and control framework for our business, including the design and operation of policies and procedures.

The Committee has reviewed Indxx’s controls and processes, and believes we are in compliance with the IOSCO Principles for all benchmarks where Indxx serves as the Benchmark Administrator.

Indxx endeavours to continue to fully support the IOSCO Principles in spirit and through our actions.

For additional questions on Indxx’s Adherence to the IOSCO Principles, please contact info@indxx.com.
